

Background and Key Principles





Background to GDPR

Background and history – how did we get here?

Why it is still important: Risk of fines and litigation



Key concepts: What is Personal Data?

• "Personal Data" is information relating to an identified natural person

 Data subjects can include employees, customers, suppliers/contractors and members of the public.

 "Processing" includes collection, storage, use etc. of the personal data.



Key concepts: Controller or Processor?

 Who determines the purposes for which the data is processed and the means of processing?

 Most obligations fall on the Controller. A Processor will process personal data in accordance with the Controller's instructions.

Data protection fee



Data Protection Principles

- Lawfulness and transparency
- Purpose limitation
- Data minimisation
- Accuracy
- Storage limitation
- Integrity and confidentiality



Legal Justification for Processing Data

- Consent (Avoid solely relying on Consent as the legal justification whenever possible)
- Contract
- Legal obligation
- Vital interests
- Public task
- Legitimate interests



GDPR in Employment





GDPR in Employment

- Data storage
- Sharing of information
- Data retention
- Data Protection Policy
- Special Category Data
- Privacy Notice
- Importance of updating



Data Storage

- Secure servers
- Locked cabinets
- Who has access?
- Data removed from premises
- Home working considerations
- Morrison data breach



Sharing information

- Necessary?
- Location?
- Appropriate procedures in place?
- Privacy notice



Data Retention

- How long is too long?
- Legal requirements
- Process for checking
- Regular updates
- Right to be forgotten?



Employee Data Protection Policy

• Contents:

- General principles
- Procedures including data security
- Dealing with a breach
- Consequences of failure to comply
- Link to disciplinary
- Criminal records data
- Special category data
- Regular training



Special Category Data

- What is it?
- What is 'special' about it?
- What do you need to do?

Privacy Notice

- Employee privacy notice
- What, when, why, how



Importance of updating

- Things change!
 - What has changed since 2018?
 - CCTV?
 - Additional IT monitoring?
 - Vaccination/ health information following pandemic?
- Not static document
- Update training as policies and procedures change



Specific issues





External Data Protection (privacy) Policy

 Used to notify the outside world how you collect, use and store third party (i.e. non-employee) personal data.

• What should the Privacy Policy should contain?

• Where/when should it be made available?



Data Subject Rights

- Access
- Rectification of errors
- Erasure (the right to be forgotten)
- Restriction of processing (as opposed to full erasure)
- Portability of data
- Object to processing (data processor must consider the objection)
- (right not to be evaluated by) Automated decision making



Data Breaches

What is a data breach?

- When & in what circumstances should you report a breach to
 - Information Commissioner's Office;
 - Affected individuals

Internal data breach policy required.



Subject Access Requests

What are they?

What do you have to do in response? And by when?

Can we charge for it?

Subject Access Request policy is usually required.



Data Processing Agreement

 Required when a Controller uses a third party Processor to process personal data

Sets out the scope of data processor's instructions

 Potential liability of data processors acting outside of scope of instructions



International data transfers

 Moving data outside the UK (e.g. when passing to third party providers)

Data can now freely flow between UK and EU

Transfers of personal data outside of EU



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Thank you

We make the complex simple

No ifs, no buts

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